The Complex Relationship of ASD and ADHD: Guidelines for Assessment

Sam Goldstein, Ph.D.
Assistant Clinical Professor
University of Utah School of Medicine
Clinical Director
Neurology, Learning and Behavior Center

www.samgoldstein.com
info@samgoldstein.com
@drsamgoldstein
@doctorsamgoldstein

Relevant Disclosure
• Comprehensive Executive Functioning Inventory
• Handbook of Executive Functioning
• Cognitive Assessment System – Second Edition
• Assessment of Autism Spectrum Disorders 1st & 2nd Editions
• Treatment of Autism Spectrum Disorders
• Practitioner’s Guide to Assessment of Intelligence and Achievement
• Editor in Chief: Journal of Attention Disorders
• Managing Attention Disorders in Children – 2nd Edition
• Managing Attention and Learning Disorders in Late Adolescence and Adulthood
• Compensated Speaker

Presentation Outline
• Context of the problem
• What is ADHD
• What is Autism
• Conceptual Differences of ADHD and Autism
• The largest epidemiological study of typical children and those with ASD
• Neuropsychological data for examining ASD and ADHD symptom overlap
• Assessment for differential diagnosis
• Strategies for Treatment Planning
Why Address This Issue?

- Some symptoms overlap.
- Some behaviors associated with both disorders overlap.
- Some impairments overlap.
- Some short term outcomes are similar.
- Some treatments are equally effective for both disorders.

However....

- Most symptoms of ASD are not associated with ADHD.
- Most impairments in ASD are not associated with ADHD.
- The life course, associated risks and outcome are very different between the two conditions.

Differential diagnosis

Accurate differential diagnosis is critical because:

- School placements and services will vary.
- Treatment focus will be different.
- Access to services will vary.
- Work with families will be different.
Is it Really that Difficult to tell the Difference in the DSM 5?

**ASD**
- Unusual behavior
- Poor communication
- Limited language
- Lack of empathy
- Poor eye contact
- Failure to establish friends.
- Poor perspective taking

**ADHD**
- Inattentive
- Impulsive
- Hyperactive
- Disorganized
- Procrastination
- Forgetful
- Tasks left unfinished.

Differential diagnosis with the DSM may not be that difficult... if the application of the DSM diagnostic criteria is complete and correct.

Why Address This Issue?
Why Address This Issue?

What is ADHD?

• ADHD is a biopsychosocial condition characterized by core symptoms of inattention, hyperactivity and impulsivity leading to interacting with cognitive deficits causing impairment in all walks of life.
• ADHD appears to primarily involve the basal ganglia, cerebellum and variably the frontal lobes, depending on associated learning difficulties.
• ADHD appears to primarily involve the neurotransmitter dopamine.
What is ADHD?

• ADHD is a condition stemming from inefficient self-regulation also closely involving planning and executive functioning.
• Co-morbidity with ADHD probably confounds findings from different study groups.
• The Symptoms of ADHD lead to a nearly infinite number of consequences.

Self-regulation

• The ability to inhibit
• The ability to delay
• The ability to separate thought from feeling
• The ability to separate experience from response
• The ability to consider an experience and change perspective
• The ability to consider alternative responses

Self-regulation

• The ability to choose a response and act successfully towards a goal
• The ability to change the response when confronted with new data
• The ability to negotiate life automatically
• The ability to track cues
Children with ADHD are typically clueless not clueless. They know what to do but fail to do so consistently, predictably and independently.

DSM-5 View of ADHD

Essential features:

• Persistent pattern of inattention and/or hyperactivity-impulsivity that is more frequently displayed and is more severe than is typically observed in individuals at comparable level of development (6 or more for kids; 5 or more for older teens and adults).
• Some hyperactive-impulsive or inattentive symptoms must have been present before seven years of age (6 or more for kids; 5 or more for older teens and adults).
• Some impairment (impaired functioning) from the symptoms must be present in at least two settings.

DSM-5 View of ADHD

Essential features:

• There must be clear evidence of interference with developmentally appropriate social, academic or occupational functioning (at least 2 settings).
• The disturbance does not occur exclusively during the course of a Pervasive Developmental Disorder, Schizophrenia, or other Psychotic Disorders and is not better accounted for by another mental disorder.
What is ASD?

• Kanner, together with Hans Asperger, initiated the modern study of autism.

What is ASD?

• Inability to relate to others
• Disinterest in parents and people
• Language difficulties
• Fascination with inanimate objects
• Resistance to change in routine
• Purposeless repetitive movements
  ➢ Wide range of cognitive skills
  ➢ Where they possess an innate inability for emotional contact

Lorna Wing
We are social beings.

What Benefits Do We Derive From Socialization?

- Support
- Survival
- Affiliation
- Pleasure
- Procreation
- Knowledge
- Friendship

The social development of autistic children is qualitatively different from other children.
In normal children perceptual, affective and neuroregulatory mechanisms predispose young infants to engage in social interaction from very early on in their lives.

Socialization Begins Early
Reina and Her Mother

A smile that lights up the right prefrontal cortex.
Adrian, my seatmate on a recent flight.

Hello!

Adrian

You look like an interesting guy.

Adrian

See what I can do! Wanna take me home?
Pointing is instinctual.

Observation is how we learn.

DSM 5 View of Autism Spectrum Disorder

- The term past use of PDD emphasized the pervasiveness of disturbances over a wide range of different domains affecting the development.
- Onset in infancy or early childhood.
- Those with PDDs (ASD, Asperger, Rhett’s, CDD, PDD NOS) share certain clinical features but appear to have diverse etiologies and clusters of symptoms.
- For these reasons the category of PDD was eliminated in the DSM 5
DSM 5 View of ASD

- Combined Social and Communication categories from DSM IV.
- Tightened required criteria reducing the number of symptom combinations leading to a diagnosis.
- Omits Retts and Childhood Disintegrative Disorder.
- Clarify co-morbidity issues
- Eliminate PDD NOS and Aspergers in favor of Autism Spectrum Disorder.

DSM 5 View of ASD

- Five criteria.
- Seven sets of symptoms in the first two criteria – Social/Communication and Restrictive/Repetitive behaviors, interests or activities.
- All three symptoms are required to meet the first criteria (although a typo omits this).
- Two out of four are needed for the second criteria.
- Some symptoms have been combined. Sensory sensitivity has been added.

Core DSM and ICD Autistic Symptoms

- Impaired social relations.
- Impaired communication skills.
- Impaired behavior.
ADHD vs. Autism

Symptoms

Social Development and Autism

• Social competence is an ability to take another’s perspective concerning a situation and to learn from past experience and to apply that learning to the ever changing social landscape.
• The social development of autistic children is qualitatively different from other children.
• In normal children perceptual, affective and neuroregulatory mechanisms predispose young infants to engage in social interaction from very early on in their lives.
• Children with ADHD may know how to socialize but not engage successfully due to inattention and impulsivity.

Social Information Processing

• Encoding of relevant stimuli.
• Interpretation of cues (both cause and intent).
• Goal setting.
• Comparison of the present situation to past experience.
• Selection of possible responses.
• Acting on a chosen response.

Crick and Dodge (1994)
Young Children with Autism

- Have little interest in the human face.
- Lack differential preference for speech sounds.
- Lack imitative capacity.
- Lack interest in physical comfort.
- Don't attach to caretakers well.

Symptoms Present Before 24 Months

Failure To:
- Orient to name
- Attend to human voice
- Look at face and eyes of others
- Imitate
- Show objects
- Point
- Demonstrate interest in other children

Symptoms Present Before 36 Months

- Use of other's body to communicate or as a tool
- Stereotyped hand/finger/body mannerisms
- Ritualistic behavior
- Failure to demonstrate pretend play
- Failure to demonstrate joint attention
Joint Attention

• Behaviors that focus the attention of the self and others on the same object (e.g. pointing, sharing emotion, etc.)
• Develops between 6 and 9 months
• Precursor of more advanced social and communication skills

Joint Attention

• This abnormality thought to be one of the earliest signs of autism
• Present in children with developmental delays absent autism
• This ability when present in preschoolers with autism predicts better prognosis for language development

Pretend Play in Autism

• Limited, often absent
• When present usually characterized by: repetitive themes, rigidity, isolated acts, one-sided play, limited imagination.
Theory of Mind

A line of research has proposed that the social deficits in autism represent a specific, innate cognitive capacity to attribute mental states to others and oneself and use these to explain and predict another person’s behavior.

How can we through a valid and reliable method understand the factor differences between ASD and ADHD?

One way to accomplish this is to conduct discrete sample studies as well as large size, census matched studies examining the the factor structure of these conditions.

Factor Structure of ADHD and ODD

A Confirmatory Factor Analysis on the DSM-IV ADHD and ODD Symptoms: What is the Best Model for the Organization of These Symptoms?

G. Leonard Burns,1,2 Brian Boo,2 James A. Walsh,3 Rita Sommers-Flanagan,4 and Lin A. Tegorden2

Factor Structure of ADHD and ODD

Confirmatory factor analysis (CFA) was used to evaluate five different models for the organization of the DSM-IV ADHD and oppositional defiant disorder (ODD) symptoms (Model 1: a single factor model; Model 2: an ADHD and ODD two-factor model; Model 3a: an inattention (INA), hyperactivity/impulsivity (HYP/IMP), and ODD three-factor model; Model 3b: an INA, HYP/IMP, and ODD three-factor model where the three IMP symptoms cross-load on the ODD factor; Model 4: an INA, HYP/IMP, and ODD four-factor model). To evaluate these models, maternal ratings of ADHD and ODD symptoms were obtained at outpatient pediatric clinics on 742 children not in treatment and 91 children in treatment for ADHD. Model 3b resulted in a good fit as well as a significantly better fit than Model 2. Model 3a was also equivalent across treatment status, gender, and age groupings for the most part. Though Models 3b and 4 provided a statistically better fit than Model 3a, the improvement in fit was small and other model selection criteria argued against these more complex models.

The best fit was two factors for ADHD and one factor for ODD with some Impulsive symptoms loading on both disorders.

Factor Analysis for 2-5 Years For ASD From The ASRS Normative Sample

- A two-factor solution was best for parent and teacher raters
  - **Factor I**: included primarily items related to both socialization and communication (e.g., keep a conversation going, understand how someone else felt) - Social/Communication
  - **Factor II**: included items related to behavioral rigidity (e.g., insist on doing things the same way each time), stereotypical behaviors (e.g., flap his/her hands when excited), and overreactions to sensory stimulation (e.g., overreact to common smells) - Unusual Behaviors
Factor Analysis for 6 to 18 Years For ASD From The ASRS Normative Sample

• A three-factor solution was best for both parent and teachers versions of the ASRS
  • Factor I: included primarily items related to both socialization and communication - Social/Communication
  • Factor II: included items related to behavioral rigidity, stereotypical behaviors and overreactions to sensory - Unusual Behaviors
  • Factor III: included items related to attention problems (e.g., become distracted), impulsivity (e.g., have problems waiting his/her turn), and compliance (e.g., get into trouble with adults, argue and fight with other children) - Self Regulation.
The consistency of the ASRS scale structure across several demographic groups (gender, age group, race, and clinical status) was studied.

The factor loadings for the groups were correlated using the coefficient of congruence.

Results revealed a very high degree of consistency between all groups, indicating that the factor structure of the forms generalized across the demographic groups.

See ASRS Manual for details.
Validity for ASD & ADHD

• Factor analysis is a valuable tool to understand how items group
• But we also need to know if the items have validity
• Discriminating children with ASD from the regular population is important
• Discriminating children with ASD from those who are not in the regular population but not ASD is very important – especially ASD vs ADHD

Clinical Case Verification

• Cases were used only if the following criteria were met:
  • a single primary diagnosis was indicated
  • a qualified professional (e.g., psychiatrist, psychologist) had made the diagnosis
  • the diagnosis made according to the DSM-IV-TR (APA, 2000) or ICD-10 (WHO, 2007)
  • appropriate methods (e.g., record review, rating scales, observation, interview) were used during diagnosis
• See ASRS Manual (pg. 49) for more details

ASRS Validity: Parents 6-18

Note: Values from ASRS Manual (Goldstein & Naglieri, 2009) page 67.
ADHD and ASD Symptom Overlap

- These data demonstrate that children with ADHD and ASD have similar behavioral challenges with behaviors associated with Self-Regulation and Attention.
- Do they also have similar challenges in their abilities to attend and self-regulate?
ASRS & Attention Difficulty

• Individuals with ASD have been described as having “difficulties in disengaging and shifting attention” (p. 214) (see Klinget, O’Kelley, & Mussey’s chapter 8 in Assessment of Autism Spectrum Disorders 2nd Edition (Goldstein & Ozonoff, 2018).

• We tested this hypothesis using the Cognitive Assessment System-2.

ASRS & Attention Difficulty

• The ASRS (6–18 Years) and Cognitive Assessment System (CAS; Naglieri & Das, 1997) was administered to children diagnosed with an ASD who were rated by a parent (N = 45) or a teacher (N = 47).

• The CAS provides measures of:
  • Planning, Attention, Simultaneous, and
  • Successive cognitive abilities

• PASS is based on A. R. Luria’s (1973) view of major brain functions.
Differential Diagnosis: ADHD vs ASD

Autism & Asperger 6 to 18 Years

Average Autism Spectrum Rating Scale T-Scores for 6-18 Year Olds Diagnosed with Autism and Asperger's Syndrome

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<th>Asperger Mean</th>
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Autism & Asperger 6-18 Years

Descriptive Statistics and Comparisons Between Individuals with Autism (n = 20) and Asperger Syndrome (n = 22)

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Ability Test Profiles for Children With Autism and ADHD

Comparisons of profiles for CAS, K-ABC-II, WJ-III, and WISC-IV

Autism Spectrum Disorder

ADHD
Important Conclusions

- Autism Spectrum Disorder represents a unique, measurable condition distinct from normal behavior and development.
- ASD is best represented by a 3-factor model with associated symptoms and behaviors.
- ADHD is best represented by a two-factor model with associated symptoms and behaviors.
- ASD and ADHD overlap on one of these factors.

Comprehensive Assessment For Any Complex Childhood Disorder Like ASD

- History
- Record review
- Standardized Observer Measures
- ASD Specific Assessment Measures
- Ability, Knowledge and Achievement Measures
- Efforts to assess coping/camouflage behaviors.
Assessment begins by taking a basic developmental history.

Autism Diagnostic Interview-Revised

- Qualitative Abnormalities in Reciprocal Social Interactions
- Qualitative Abnormalities in Communication
- Restrictive, Repetitive and Stereotyped Patterns of Behavior

Autism Diagnostic Observation Schedule (ADOS)

- Age range toddlers to adults.
- No speech to those who are verbally fluent.
- Semi-structured assessment.
- Four modules requiring 45 minutes to administer.
- A module is chosen depending upon expressive language and age.
- Non-verbal teens and adults can't be evaluated.
- Autism and Autism Spectrum cut off scores are provided for two domains (will be Social Affective and Restricted Repetitive Behaviors).
Autism Diagnostic Observation Schedule

Current
• Social Domain
• Communication Domain

New
• Social Affect Domain
• Restrictive Repetitive Behaviors Domain

Qualitative Abnormalities in Reciprocal Social Interactions

• Failure to use non-verbal behaviors to regulate social interaction.
• Failure to develop peer relationships.
• Lack of shared enjoyment.
• Lack of social emotional reciprocity.

Qualitative Abnormalities in Communication

• Spoken language delays or impairments.
• Lack of make believe and imitative play.
• Poor conversational interchanges.
• Stereotyped, repetitive or idiosyncratic speech.
Restrictive, Repetitive and Stereotyped Patterns of Behavior.

- Circumscribed interests.
- Adherence to non-functional routines or rituals.
- Stereotyped and repetitive motor movements.
- Preoccupation with parts of objects.

Areas of Observation: Play Skills

- Nonfunctional use of play materials
- Developmental level of play
- Self-awareness
- Aggression

Areas of Observation: Social Development

- Interest in social interaction
- Patterns of gaze and eye contact
- Differential attachments
- Style of social interaction
Areas of Observation: Communication

- Receptive language
-Expressive language
-Non-verbal communication
-Pragmatics
-Communicative intent
-Echolalia
-Joint attention

Areas of Observation: Response to the Environment

- Motor stereotypies
-Idiosyncratic responses
-Resistance to change

Behavioral Observation During Assessment

- Compliance
-Motivation
-Focus
-Activity level
-Understanding routines
-Rate and pacing of work
-Response to instructions and cues
-Conversational style and topics
-Odd mannerisms or movements
-Response and relatedness to examiner
Assessment of Ability, Achievement and Skill

- IQ test such as WISC or RAIS
- Cognitive Assessment System (or other full neuropsychological measure.
- Expressive and receptive vocabulary tests
- Measures of non-verbal reasoning
- Discrete Neuropsychological measures: executive functions, speed of processing, motor functions, etc.
- Achievement measure such as Woodcock or Kaufman.

Nate’s drawing when Asked to draw a “person”!

Nicholas called this Picasso man!
Differentiating between Eligibility under State, Federal or Provincial Guidelines and making a Diagnosis under DSM or ICD.

Addressing Co-Occurrence/Comorbidity

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<th>CD</th>
<th>Anx</th>
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<td>1%</td>
<td>10%</td>
<td>42%</td>
<td>70%+</td>
</tr>
<tr>
<td>ODD</td>
<td>42%</td>
<td>62%</td>
<td>39%</td>
<td>55%</td>
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</table>

Making the Diagnosis of ASD
Intervention

• Despite strong claims no curative treatment has been studied vigorously.
• "In the absence of a definitive cure there are a thousand treatments" (Klin).
• Behavior modification, educational intervention and pharmacology have been studied.

Components of an Effective Treatment Program

• Structured behavioral treatment (ABA)
• Parent involvement
• Multi-disciplinary treatment at an early age
• Intensive intervention
• Social skill development
• Focus on generalization of skills
• Appropriate school setting
• Symptom targeted use of medication
Our text book devoted to proven and promising treatments for ASD.
The “Prime Directive” is Independence

- Reduce reliance on prompts.
- Help individuals predict and control their environment and behavior.
- Increase self-esteem and self-efficacy.
- Develop independence through a “learning to swim” mindset.

Concluding Thoughts

- [Text from Concluding Thoughts]

Questions?

- [Contact information]