Behavioral Assessment of Youth: Where Comorbidity is the Norm, Not the Exception

- www.samgoldstein.com
- info@samgoldstein.com
- @drsamgoldstein
- @doctorsamgoldstein





Sam Goldstein, Ph.D. Assistant Clinical Professor University of Utah School of Medicine

Clinical Director Neurology, Learning and behavior Center

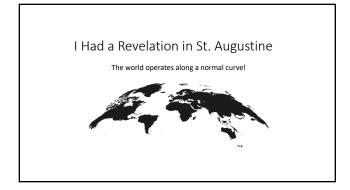
Disclosure

- My expenses for this talk are supported by Multi-Health Systems.
- I have developed tests marketed by Multi-Health Systems, Pro-Ed and Western Psychological Services.
- I have authored books marketed by Springer, Wiley, Guilford, Double Day, McGraw Hill, Brookes, Kluwer and Specialty Press.
- I am Editor in Chief of the Journal of Attention Disorders (Sage) and Co-Editor of the Encyclopedia of Child Development (Springer)

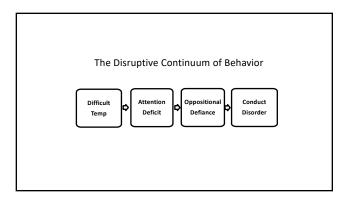
Learning Objectives | Price our role | Price out role |

-	

Preschool Graduation Part I	
Preschool Graduation Part II	
The Bus Test	
SCHOOL BUS A	







The Non-disruptive Continuum of Behavior Depression Depression	
Anxiety	

How Shall We Understand, Define and Categorize Mental Illness and Developmental Problems?



- By etiology or cause?
- By emotions, abilities, behaviors and thoughts?
- By impaired function in activities of life?



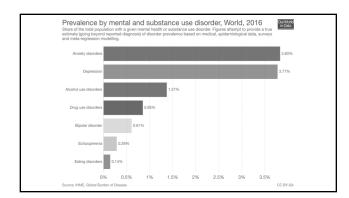
Diagnosis

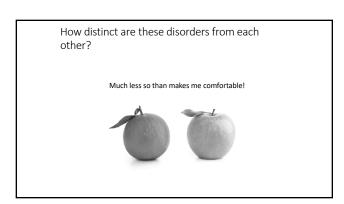
Medicine/Medical.

The process of determining by examination the nature and circumstances of a diseased condition.

The decision reached from such an examination.

eligible adjective Having the right to do or obtain something; satisfying the appropriate conditions. "Customers who are eligible for discounts" Synonyms: entitled, permitted, allowed, qualified, able "Those people eligible to vote" (of a periodic sessible or suitable as a partner in marriage). "The world's most eligible barbelor" Synonyms: desirable, suitable





Co-Occurrence/Comorbidity

Dx	ASD	ODD	CD	Anx	Dep	LD
ADHD	59%	47%	22%	35%	41%	45%
ASD		4% to 37%	1% to 10%	42%	1.4% to 38%	70%+
ODD			42%	62%	39%	55%+

How distinct are these disorders from each other?

Although the National Institute of Mental Health (NIMH) has prepared well for this undertaking, much remains to be done. Rigorous diagnostic procedures are available for some mental disorders, but not all. Studies to identify the genes that influence the onset of mental disorders have been initiated, but too few are large enough to efficiently detect these genes. Dedicated investigators are working on various aspects of mental disorders, but more researchers with training in molecular and statistical genetics are required (NIH,1997)



How distinct are these disorders from each other?

For over a century, psychiatric disorders have been defined by expert opinion and clinical observation. The modern DSM has relied on a consensus of experts to define categorical syndromes based on clusters of symptoms and signs, and, to some extent, external validators, such as longitudinal course and response to treatment. In the absence of an established etiology, psychiatry has struggled to validate these descriptive syndromes, and to define the boundaries between disorders and between normal and pathologic variation.

Expert Review Published: 60 January 2018

Psychiatric genetics and the structure of psychopathology

January W. Smills © Clink Andrewson-Housel J. Edinbury. Statcher V. Farsons. Strake J. Clink

ondan W. Smoller ⁶⁶, Ole A. Andreassen, Howard J. Edenberg, Stephen V. Jenneth S. Kendler

How distinct are these disorders from each other?

Before the modern era of genomic research, family and twin studies demonstrated that all major psychiatric disorders aggregate in families and are heritable. Over the past decade, the success of large-scale genomic studies has confirmed several key principles: (1) psychiatric disorders are highly polygenic, reflecting the contribution of hundreds to thousands of common variants of small effect and rare (often de novo) SNVs and CNN's; (2) genetic influences on psychopathology commonly transcend the diagnostic boundaries of our clinical DSM nosology. At the level of genetic etiology, there are no sharp boundaries between diagnostic categories or between disorder and normal variation

Expert Review | Published: 09 January 2018

Psychiatric genetics and the structure of psychopathology

ordan W. Smoller ⁶⁸, Ole A. Andres Jenneth S. Kendler

Kenneth S. Kendler

Comorbidity is the

RULE

not the Exception



How Shall We Understand, Define and Categorize Mental Illness?

- By etiology or cause?
- By emotions, behaviors and thoughts?
- By impaired function in activities of life?

What is the Goal of a Comprehensive Evaluation? • Identify and define symptoms? • Identify and define strengths and weaknesses? Appreciate the relationship of a set of symptoms to Define limits of functional impairment to set a baseline for intervention?

Components of a Thorough Assessment

- History
- Broad Spectrum Questionnaires (Parent and Teacher)
- Impairment. Risk. **Executive Functioning**
- Narrow Spectrum Questionnaires (Parent and Teacher)
- Self report Questionnaires
- · Ability Assessment
- · Achievement Assessment
- · Interview with student



General Guidelines for a Comprehensive Evaluation

- A distinction should be made between acute vs. chronic problems.
- Person and environment protective factors need to be understood.
- Assessment should be strength and risk focused.
- Test results should be presented in ways that are useful to consumers (e.g. family, school, etc.).
 The least amount of assessment needed to answer referral questions should be completed.



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Person Attributes Associated Coping*	With Successful	
 Affectionate, engaging temperament. Sociable. Autonomous. Above average IQ. Good reading skills. High achievement motivation. 	■ Positive self-concept. ■ Impulse control. ■ Internal locus of control. ■ Planning skills. ■ Faith.	
Acres and Div	■ Humorous. ■ Helpfulness.	1
Same of the Same		

Environmental Factors Associated With Successful Coping*

- Smaller family size.

 Maternal competence and mental health.

 Extended family involvement.

 Close bond with primary caregiver.

 Supportive siblings.

 Living above the poverty level.

 Friendships.

 Supportive teachers.

 Successful school experiences.

 Involvement in pro-social organizations.

*Replicated in 2 or more studies.



Determining eligibility is an outcome best understood and obtained by a thorough assessment.



Critical Issues In Assessment

- Demographics
- Symptoms vs. consequences



- Categories vs. dimensions
- Eligibility vs. diagnosis
- Developmental pathways: accept a moment in time
- There are no shortcuts
- Assess the environment

Critical Issues in Assessment



- Assess for intervention
- Understand positive and negative predictive power
- Understand sensitivity vs. specificity
- Begin with the disruptive/non-disruptive continuum
- Keep low incidence problems in mind
- Consider resilience (protective) factors
- Measure impairment

How the Brain Works Ability, Knowledge and Skill	
Why is the assessment of impairment critical to a comprehensive evaluation?	
An exhaustive review of the literature demonstrates that the relationship between symptoms and functioning remains unexpectedly weak and often bidirectional (McKnight and Kashdan, 2009).	

Impairment is
the reduced
ability to meet
the demands of
life because of a
psychological,
physical, or
cognitive
condition.

SYMPTOMS VS. IMPAIRMENT

Impairment is not the same as symptoms

☐ Symptoms are physical, cognitive or behavioral manifestations of a disorder.

 $\hfill \Box$ Impairments are the functional consequences of these symptoms.







Difficulty completing

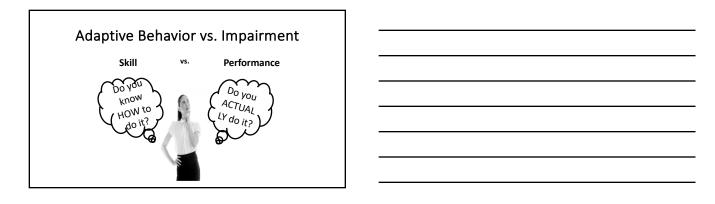
SYMPTOMS VS. IMPAIRMENT

Impairment can exist absent of formal diagnosis. (Balazs et al., 2013; Wille et al., 2008)

In one study 14.2% of a sample of children were significantly impaired without a formal diagnosis.

(Angold et al.,

1999)



Adaptive Behavior vs. Impairment



vs.



Using utensils

Not using utensils

Symptoms vs. Impairment

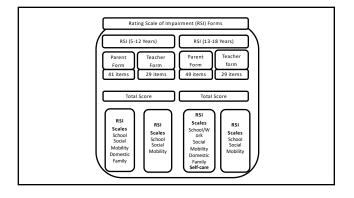


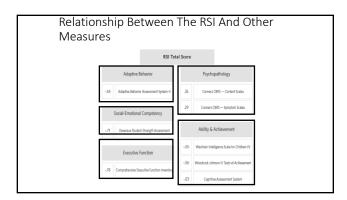
VS.



Inattention

Difficulty completing homework





Relationship Between The RSI And Other Impairment Measures

• RSI and the Barkley Functional Impairment Scale (BFIS-CA)

• Child Sample corrected r = .55 to .67

• Youth Sample corrected r = .63 to .71

• RSI and the Children's Global Assessment Scale (CGAS)

• Corrected r = -.34 to -.51

What do we mean by the term Executive Function(s)?

Executive Function (s)

- In 1966 Alexandr Luria first wrote and defined the concept of Executive Function (EF)
- He credited Bianchi (1895) and Bekhterev (1905) with the initial definition of the process



What is/are Executive Function(s)

There is no formal excepted definition of EF

- We typically find a vague general statement of EF (e.g., goal-directed action, cognitive control, top-down inhibition, effortful processing, etc.).
- Or a listing of the constructs such as
 Inhibition,
 Working Memory,

 - Planning,
 Problem-Solving,
 - Goal-Directed Activity,
 Strategy Development and Execution,
 Emotional Self-Regulation,



Does Experience Shape EF?

- The Family Life Project has demonstrated that poverty is associated with elevated cortisol in infancy and early childhood.
- This association is mediated through characteristics of the household.
- Parenting sensitivity mediates the relationship between poverty and stress physiology.
- In combination parenting sensitivity and elevated cortisol mediate the association between poverty and poor EF in children.

Family Life

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Copyright Of Shire A Record Street, Life.

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What Neural Activities Require EF?

- Those that involve planning or decision making.
- Those that involve error correction or troubleshooting.
- Situations when responses are not well-rehearsed or contain novel sequences of actions.
- Dangerous or technically difficult situations.
- Situations that require the overcoming of a strong habitual response or resisting temptation.

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Goldstein, Naglieri, Princiotta, & Otero (2013)

- We found more than 30 definitions of EF(s).
- Executive function(s) has come to be an umbrella term used for many different abilities, including planning, working memory, attention, inhibition, self-monitoring, self-regulation and initiation carried out by pre-frontal areas of the frontal lobes.

**

What is Executive Function(s)

- 1. Barkley (2011): "EF is thus a self-directed set of actions)" (p. 11).
- 2. Dawson & Guare (2010): "Executive skills allow us to organize our behavior over time" (p. 1).
- 3. Delis (2012): "Executive functions reflect the ability to manage and regulate one's behavior (p. 14).

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What is Executive Function(s)

- 4. Denckla (1996): "EF (is) a set of domain-general control processes..." (p. 263).
- 5. Gioia, Isquith, Guy, & Kenworthy (2000): "a collection of processes that are responsible for guiding, directing, and managing cognitive, emotional, and behavioral functions" (p. 1).

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- 6. Pribram (1973): "executive programmes ...to maintain brain organization " (p. 301).
- Roberts & Pennington (1996): EF "a collection of related but somewhat distinct abilities such as planning, set maintenance, impulse control, working memory, and attentional control" (p. 105)

What is Executive Function(s)

- 6. Stuss & Benson (1986): "a variety of different capacities that enable purposeful, goal-directed behavior, including behavioral regulation, working memory, planning and organizational skills, and self-monitoring" (p. 272).
- Welsh and Pennington (1988): "the ability to maintain an appropriate problem-solving set for attainment of a future goal" (p. 201).

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What is Executive Function(s)

10. McCloskey (2006): "a diverse group of highly specific cognitive processes collected together to direct cognition, emotion, and motor activity, including ...the ability to engage in purposeful, organized, strategic, self-regulated, goal directed behavior" (p. 1)

"think of executive functions as a set of $\;\;$ independent but coordinated processes rather than a single trait" (p. 2).

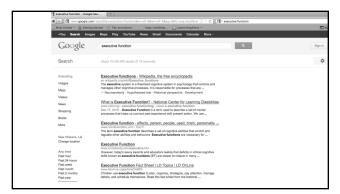
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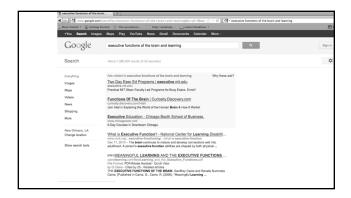
What is Executive Function(s)

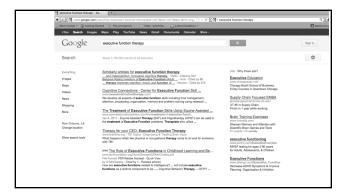
- 10. Lezak (1995): "a collection of interrelated cognitive and behavioral skills that are responsible for purposeful, goal-directed activity," ...
- 11. "how and whether a person goes about doing something" (p. 42).
- 12. Luria (1966): "... ability to correctly evaluate their own behavior and the adequacy of their actions" (p. 227).

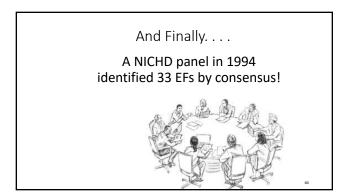
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Executive Functions | Supplementary | Supplem









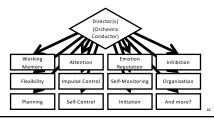
The Top Six Were:

- Self-regulation
- Sequencing of behavior
- Flexibility
- Response inhibition
- Planning
- Organization of behavior



Three Categories of Theories

- Regulators that control
 Abilities (cognitive processes)
- Behaviors



A similarly named ability and behavior (e.g. planning) may only overlap to a small extent in explaining outcome.

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	In fact EF ability likely forms the foundation reflected in behavior, achievement, emotional regulation and socialization. The contributed variance likely is impacted by a host of other variables. Ability and knowledge interact with these variables to shape skillful behavior.	
	Are EF challenges associated with other psychiatric and developmental conditions? **Ohyes We single out someone every week and highlight their performance.** 65	
	EF and ADHD	
	EF deficits are not necessarily unique to ADHD.	
	They are neither necessary nor sufficient to make a diagnosis of ADHD. When EF impairments are measured in children with ADHD they tend to	
	reflect specific rather than global impairments.	
- 1		

EF and Other Disruptive
Disorders (ODD & CD)

Early reviews reported that EF deficits were not characteristic of children and adolescents with ODD and CD after comorbid ADHD was factored out. More recent studies, however, suggest that inhibition deficits may be characteristic of both ADHD and CD but whether children with CD display impairments on additional EF measures is equivocal.

EF and Tourette's

Distinct and robust impairments in EF do not appear to be characteristic of children with TD.

EF and Anxiety Disorders

EF deficits in set-shifting, cognitive flexibility, concept formation, interference control, and verbal fluency have been documented among children with separation anxiety disorder, overanxious disorder, and PTSD. EF in OCD has not been well addressed.

EF and	Depre	ssion
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Scant research has been conducted on the EF abilities among youth with depression. Studies that have included older adolescents have suggested some degree of sensitivity of EF tasks in identifying unipolar depression, but less specificity.

EF and Bi-Polar Disorder

There is a growing consensus about the nature of BD among children. Several studies have targeted its EF concomitants. Although results often have been confounded with significant co-morbidity issues, children and adolescents with BD reliably have demonstrated impairments relative to those without any history of mood disorders on several EF measures (e.g. working memory, set shifting).

EF and Traumatic Brain Injury

Dement Neuropsychol 2011 December 5(4):337-345

Original Arti

Pragmatic and executive functions in traumatic brain injury and right brain damage

An exploratory comparative study

Nicolle Zimmermann^{1,2}, Gigiane Gindri^{1,3}, Camila Rosa de Oliveira^{1,2}, Rochele Paz Fonseca^{1,4}

Abstract - Objective To describe the frequency of pragmatic and executive deficits in right brain durang (IRID) and in transmits brain injury TRII patients, and to wrife possible dissociations between pragmatic as executive fractions in those two propuss, bulked. The sample comprised 2 cases of TRII and 2 cases of RII All participants were assessed by nearest of tasks from the Montreal Communication Torolaution Bartony. All participants were assessed by nearest of tasks from the Montreal Communication Torolaution Tor

Its individuals again extinoided a general protite of executive dystunction, aftecting mainly working memory, initiation, inhibition, planning and switching. Pragmatic and executive deficits were generally associated upon comparisons of RBD patients and TBI cases, except for two simple dissociations: two post-TBI cases showed executive deficits in the absence of nearmatic deficits. Discussion: Pranmatic and executive deficits can be very

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EF Deficits and ASD	
7 Child Aqual, Paplace, Vol. 11, No. 1, yp. 1081–1115, 1081 Protest in Cline Braine. © 1281 Association for Child Psychology and Paplacing Protest Specific	
W TEXT (ADDITION IN VARIE PROPERTY)	
Executive Function Deficits in High-Functioning Autistic Individuals:	
Relationship to Theory of Mind	
Sally Ozonoff,* Bruce F. Pennington* and Sally J. Rogers	
About—A group of high-functioning authoric individuals was compared to a clinical control on spatial or other control measures. Second-order theory of mind and executive function	
on spatial or other control measures. Second-order theory of mind and executive function deficits were widespread among the autistic group, while first-order theory of mind deficits were found in only a subset of the sample. The relationship of executive function and theory of mind deficits to each other, and their primary to autism, are discussed.	
73	
EF and Learning Disabilities	
Working Memory Impairments in Children with Specific Arithmetic	
Learning Difficulties ** ****** Janet F. McLean, Graham J. Hitch	
Lamosater University, Lamosater, United Singdom 18th (Sind, Single Sing	
Viewfull text	
Purchase \$19.05	
Abstract Working memory impairments in children with difficulties in arithmetic have previously been investigated	
using questionable selection betwings are not control groups, leading to problems concluding where deficits may occur. The present half perhapted to overcome been circlinary by search grip System of address with difficulties sportle to withheade, as included by normal reading, and comparing them with both about method and william control controls. A station of this laws are sent manaer affected amont of all	
and some aspects of executive processing. Compared to ability-matched controls, they were impaired only	
on one task designed to assess executive processes for holding and manipulating information in long-term memory. These deflicits in executive and spatial aspects of working memory seem likely to be important factors in poor arithmetical attainment.	
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If all of these conditions are

statistically related to behaviors and abilities reflecting EF than a common denominator must exist.

Impairment in behaviors associated with EF can have multiple etiologies	
often operating simultaneously.	
IT'S THE ONLY WAY WE EAM GET THE KIDS IN TO THE GARREN	
And the second	
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OPEN PRODUCTION	
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Impaired Behavior Associated With Poor EF Can Result From:	
• Lack of ability.	
Lack of knowledge.Lack of motivation.	
 Internalizing symptoms. 	
Externalizing symptoms.Poor impulse control.	
	
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Starting with an assessment of EF	
behaviors defines the real life landscape and can be used as a	
foundation to than explore etiologies.	
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Executive Function(s)

- One way to examine this issue is to research the factor structure of behaviors related to EF(s)
- To do so, we examined the factor structure of the Comprehensive Executive Function Inventory (CEFI)
- We conducted a series of research studies to
- answer the following question:

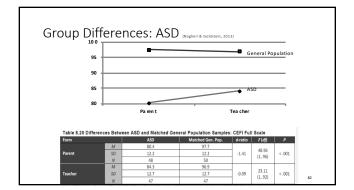
 What is the underlying structure of the behaviors assessed on the CEFI?
- Is there is just one underlying factor called executive function), or do the behaviors group together into different constructs suggesting a multidimensional structure?

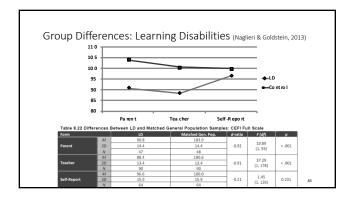
EFI Scales

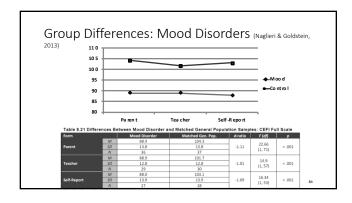
Each form yields a **Full** Scale score and 9 separate content scales which contain items as follows...

Consistency Index Negative Impression Scale Positive Impression Scale Full Scale **CEFI Scales** Attention Emotion Regulation Flexibility Inhibitory Control Inhibitory Control Initiation Organization Planning Self-Monitoring Working Memory

Group Differences: ADHD (Naglieri & Goldstein, 2013) 10 5 100 -A DHD 95 90 85 80 Pa ren t Self -R epo rt < .001 22.21 (1, 232) -0.62 <.001







Ability and Achievement	
Ability and Admerement	

PASS Theory

- PASS theory is a modern way to define 'ability' based on measuring neurocognitive abilities
- Planning = THINKING ABOUT THINKING
- Attention = BEING ALERT
- ullet **S**imultaneous = GETTING THE BIG PICTURE
- Successive = FOLLOWING A SEQUENCE

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The Brain as PASS

PASS: A neuropsychological approach to the Brain based on three Functional Units described by A. R. Luria (1972)

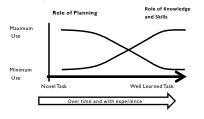


PASS Theory: Planning

- Planning is a neurocognitive ability that a person uses to determine, select, and use efficient solutions to problems
 problem solving
 developing plans and using strategies
 retrieval of knowledge
 impulse control and self-control
 control of processing

Knowledge and Planning Learning Curves

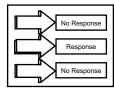
- Learning depends upon instruction and intelligence (PASS)
- At first, PASS plays a major role in learning
- When a new task is learned and practiced it becomes a skill and execution requires less PASS



PASS Theory

- ▶ Attention is a basic neurocognitive ability we use to selectively attend to some stimuli and ignores others
- focused cognitive

- activity
 selective attention
 resistance to distraction



PASS Theory

- **Simultaneous** processing is a basic neurocognitive ability which we use to integrate stimuli into groups and solve problems
 - Stimuli are seen as a whole
 - Each piece must be related to the others

PASS Theory: Successive

- ▶ Successive processing is a basic neurocognitive ability which we use to manage stimuli in a specific serial order
 • Stimuli form a chain-like progression
- Stimuli are not inter-related



Ability Profiles PASS Processing Scores 105 ADHD 100 95 90 ASD 85 SLD

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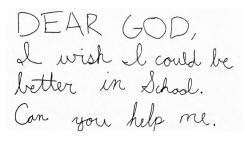
- A day in the life
- Ability/Knowledge/Skill
- Take a chronological perspective.
- Risk and Protective factors
- Determining eligibility
- Suggesting possible diagnoses
- Recommending needs
- Considering continuum of services

Horsocalled parents,

I hate your pruckengut

Roby
Youlied and said that
your would spend time
Kathleen
Same with you

I am not going to do my homewark untile i have a toy in my hand.





Adopt a Learning to Ride a Bicycle Mindset!





