Understanding and Applying Executive Functioning Theory to Foster Resilience in Students



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I Had a Revelation in St. Augustine

The World Operates Along a Normal Curve!

Relevant Disclosure

- My expenses for this conference are supported by Multi Health Systems and Western Psychological Services.
- I have developed tests marketed by Multi- Health Systems, Pro-Ed and Western Psychological Services.
- I have authored books marketed by Springer, Wiley, Guilford, Double Day, McGraw Hill, Brookes, Kluwer and Specialty Press.
- I am Editor in Chief of the Journal of Attention Disorders (Sage) and Co-Editor of the Encyclopedia of Child Development (Springer)

Steps Needed to Apply EF Strategies t	C
Enhance Resilience	

- · What is EF?
- What is resilience?
- Can EF strategies be manualized and measured?
- Can the components of resilience be identified?
- Can those components be manualized?
- Can EF strategies be applied with fidelity to enhance resilience in students and staff?
- Is their a downside or cost to do this?

What is our primary responsibility as diagnosticians?

The Five Student Challenge

What variables predict the capacity to learn and the quality of performance?

How do we help children be skillful?



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The Consequence Is Worse Than the Symptom

NEGATIVE REINFORCEMENT



What Neural Activities Require EF?

- Those that involve planning or decision making.
- \bullet Those that involve error correction or troubleshooting.
- Situations when responses are not well-rehearsed or contain novel sequences of actions.
- Dangerous or technically difficult situations.
- Situations that require the overcoming of a strong habitual response or resisting temptation.

Goldstein, Naglieri, Princiotta, & Otero (2013)



- We found more than 30 definitions of EF(s).
- Executive function(s) has come to be an umbrella term used for many different abilities, including planning, working memory, attention, inhibition, self-monitoring, self-regulation and initiation carried out by pre-frontal areas of the frontal lobes.

What is Executive Function(s)

- 1. Barkley (2011): "EF is thus a self-directed set of actions)" (p. 11).
- Dawson & Guare (2010): "Executive skills allow us to organize our behavior over time" (p. 1).
- 3. Delis (2012): "Executive functions reflect the ability to manage and regulate one's behavior (p. 14).

10

What is Executive Function(s)

- 4. Denckla (1996): "EF (is) a set of domain-general control processes..." (p. 263).
- 5. Gioia, Isquith, Guy, & Kenworthy (2000): "a collection of processes that are responsible for guiding, directing, and managing cognitive, emotional, and behavioral functions" (p. 1).

11

What is Executive Function(s)

- 6. Pribram (1973): "executive programmes ...to maintain brain organization " (p. 301).
- Roberts & Pennington (1996): EF "a collection of related but somewhat distinct abilities such as planning, set maintenance, impulse control, working memory, and attentional control" (p. 105).

What	is	Executive	Function	1/5	١
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- Stuss & Benson (1986): "a variety of different capacities that enable purposeful, goal-directed behavior, including behavioral regulation, working memory, planning and organizational skills, and self-monitoring" (p. 272).
- Welsh and Pennington (1988): "the ability to maintain an appropriate problem-solving set for attainment of a future goal" (p. 201).

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What is Executive Function(s)

10. McCloskey (2006): "a diverse group of highly specific cognitive processes collected together to direct cognition, emotion, and motor activity, including ...the ability to engage in purposeful, organized, strategic, self-regulated, goal directed behavior" (p. 1)

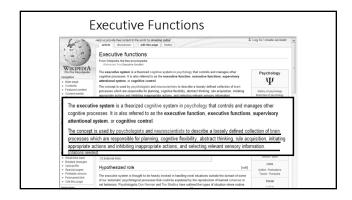
"think of executive functions as a set of independent but coordinated processes rather than a single trait" (p. 2).

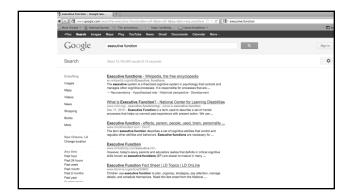
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What is Executive Function(s)

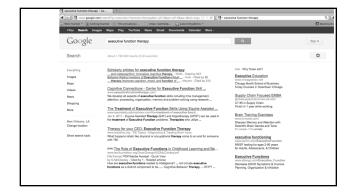
- 10. Lezak (1995): "a collection of interrelated cognitive and behavioral skills that are responsible for purposeful, goal-directed activity," ...
- 11. "how and whether a person goes about doing something" (p. 42).
- 12. Luria (1966): "... ability to correctly evaluate their own behavior and the adequacy of their actions" (p. 227).

...









And Finally	
A NICHD panel in 1994 identified 33 EFs by consensus!	
	20

The Top Six Were:

- Self-regulation
- Sequencing of behavior
- Flexibility
- Response inhibition
- Planning
- Organization of behavior



Three Categories of Theories Regulators that control Abilities (cognitive processes) Behaviors Director(s) (Orchestra Conductor) Working Memory Attention Regulation Flexibility Impulse Control Initiation Planning Self-Control Initiation And more?

In fact EF ability likely forms the foundation reflected in behavior, achievement, emotional regulation and socialization.

The contributed variance likely is impacted by a host of other variables. Ability and knowledge interact with these variables to shape skillful behavior.

Impaired Behavior Associated With Poor EF Can Result From:

- Lack of ability.
- Lack of knowledge.
- Lack of motivation.
- Internalizing symptoms.
- Externalizing symptoms.
- Poor impulse control.

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Executive Function

- EF is a unitary construct (e.g., Duncan & Miller, 2002; Duncan & Owen, 2000).
- EF is unidimensional in early childhood not adulthood.
- Both views are supported by some research (Miyake et al., 2000), — EF is a unitary construct ...but with partially different components.

Executive Functions

- EF has three components: inhibitory control, set shifting (flexibility), and working memory (e.g., Davidson, et al., 2006; Miyake et al., 2000).
- EF has independent abilities (Wiebe, Espy, & Charak, 2008).
- Executive Functions is a multidimensional model (Friedman et al., 2006; Miyake et al., 2000).

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Given all these definitions of EF(s) we wanted to address the behavioral question...

Executive Functions ... or

Executive Function?

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Executive Function(s)

- One way to examine this issue is to research the factor structure of behaviors related to EF(s)
- To do so, we examined the factor structure of the Comprehensive Executive Function Inventory (CEFI)
- We conducted a series of research studies to answer the following question:
 - What is the underlying structure of the behaviors assessed on the CEFI?
 - Is there is just one underlying factor called executive function), or do the behaviors group together into different constructs suggesting a multidimensional structure?

ITEM FACTOR ANALYSES — PART 1

- For the first half of the normative sample for Parent, Teacher and Self ratings' item scores (90 items) was analyzed using exploratory factor analysis
- The scree plots and the very simple solution criterion both indicated that only one factor.
- The *ratio of the first and second eigenvalues* was greater than four for all three forms, which indicated a **one factor solution**.

20

Item Factor Analyses — Part 1 Item level factor analysis clearly indicted that one factor was the best solution Table 8.2. Eigenvalues from the Inter-Item Correlations Form 1 2 3 4 5 6 7 Parent 437 41 23 4 5 6 7 Parent 437 41 23 13 13 11 11 10 85 561 Factor 564 Factor 564 Factor 564 Factor 565 Factor 665 Factor 6

Scale Factor Analyses – Part 2

- Using the second half of the normative sample EFA was conducted using raw scores for the Attention, Emotion Regulation, Flexibility, Inhibitory Control, Initiation, Organization, Planning, Self-Monitoring, and Working Memory scales
- Both the Kaiser rule (eigenvalues > 1) and the Eigenvalue Ratio criterion (> 4) unequivocally indicated **one factor**.

So ar in fa	cem Factor A Eigenv halysis clearly dicted that one ctor was the est solution 5 4 0 0	+ Parents - Teachers - Self	
т	able 8.4. Eigenvalues of the CEFI Scales Co	orrelations	
р Т 5	prim 1 2 3 arent 7.5 0.2 0.0 socher 7.8 0.3 0.0 H-Report 6.3 0.2 0.1 see Etracion method Psy.	** S S 7	31

EXPLORATORY FACTOR ANALYSES

Conclusion:

When using parent (N = 1,400), teacher (N = 1,400), or self-ratings (N = 700) based on behaviors observed and reported for a nationally representative sample (N = 3,500) aged 5 to 18 years Executive Function *not* functions is the best behavioral term to use.

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Our Conclusion. . .

The concept of Executive Function is best defined as a unitary construct....how you do what you do.



Naglieri & Goldstein,	2012		
Executive Function is how efficie what you decide to do.	Assess	Adapt and for Conti	inuous
	Progres	Analyz Probler Diagn Caus	n and lose les
	Implement the Strategy	Identify the Problem	Develop a Theory of Action
		Plan for ementation	Design the Strategy

EF as a Mediator of Ability and Knowledge

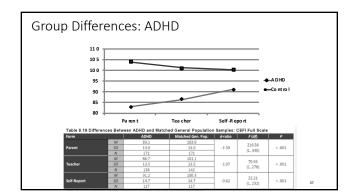
- Ability: The skills we use to acquire and manipulate knowledge to solve problems. Also referred to as intelligence.
- Knowledge: Everything we learn in life. Also referred to as achievement.
- Executive Function: How efficiently or skillfully you do what you decide to do.

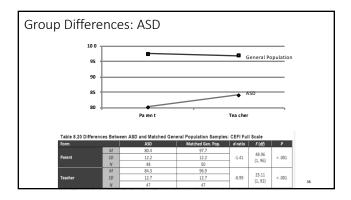
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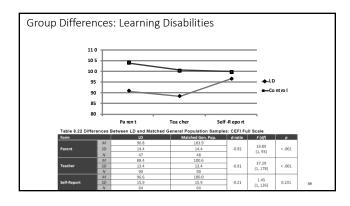
CEFI Scores by Diagnosis

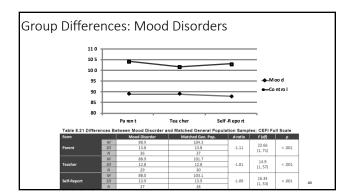
- We expected that individuals with ADHD, mood disorders, and Autism Spectrum Disorders might earn a low CEFI Full Scale score.
- We compared groups matched on gender, race/ethnicity, and parental education

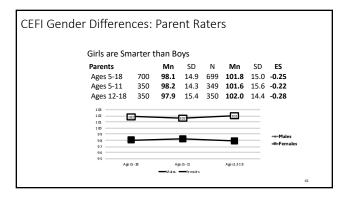
Impairment in executive function in common in a number of internations and externations forms of psychopothology (Willcott et al., 2005; see chapter 2. Theory and Research, for Anther discussion). For instance, research and theory has pointed to executive function deficios in Affantion-Optical Hyperactivity (Disorder (ADPID) and mood disorders (is q., Weymord et al., in press), as well as Autions Spectrum Disorder (ASD e.g., Gilbert, Bird, Bird, Bird, Firth, & Burgers, 2006 (Golfer, Kemnorthy, Sirtan, Black, & Wagner, 2002; Happe, Booth, Chartino, & Hugher, 2006; Darodf, Pennington, & Rogers, 1991; Soomon, Clarodf, Usus, Ravizas, Cummings, Ly, & Cartler, 2009).

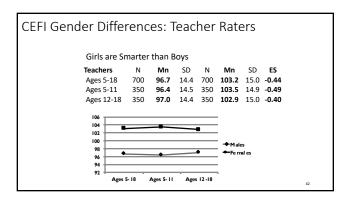


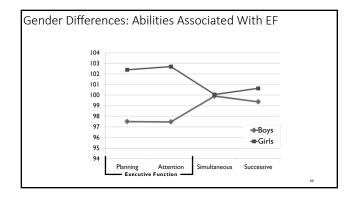










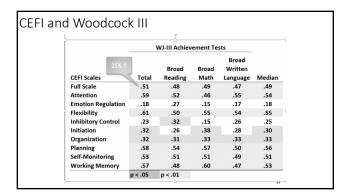


CEFI Measurses Impact WISC-IV, CAS, and WJ III

- Data from the Neurology, Learning and Behavior Center in Salt Lake City, UT
- Children given the CEFI, WISC-IV (N = 43), CAS (N = 62), and the WJIII achievement (N = 58) as part of a typical test battery.

			WISC-IV	,			
	FS	vc	PR	WM	PS	CE	FI
16%!!!						Mn	SD
CEFI							
Full Scale	.39	.44	.27	.30	.34	93.0	11.9
Attention	.39	.33	.32	.40	.35	91.8	11.2
Emotion Regulation	.14	.25	.08	06	.11	97.2	14.7
Flexibility	.57	.68	.45	.46	.37	93.8	11.0
Inhibitory Control	.21	.20	.13	.08	.27	97.7	13.5
Initiation	.25	.31	.14	.21	.25	91.2	15.1
Organization	.15	.17	.06	.14	.17	92.2	13.6
Planning	.46	.54	.31	.38	.39	93.6	11.1
Self-Monitoring	.39	.45	.31	.33	.27	92.0	11.3
Working Memory	.38	.43	.31	.36	.23	92.5	13.6
WISC-IV M	95.5	96.8	101.5	92.6	90.7	92.6	
WISC-IV SD	18.1	14.7	17.5	17.5	19.4	17.5	

.45 .40 .26 .52	.49 .42 .22	.43 .39 .23	.37 .30 .24	.32 .35 .13	OE Mn 91.4 90.3 96.9	12.8
.45 .40 .26	.49 .42 .22	.43 .39 .23	.37 .30 .24	.32 .35 .13	Mn 91.4 90.3	SD 13.2 12.8
.40 .26 .52	.42 .22	.39	.30 .24	.35 .13	91.4 90.3	13.2 12.8
.40 .26 .52	.42 .22	.39	.30 .24	.35 .13	90.3	12.8
.26 .52	.22	.23	.24	.13		
.52					96.9	14.7
	.54	.51	40			
27			.40	.42	92.2	13.0
.27	.29	.22	.18	.21	96.0	13.9
.40	.37	.31	.30	.20	89.0	16.3
.29	.36	.21	.20	.23	90.5	14.3
.47	.54	.46	.37	.38	92.5	12.4
.48	.50	.49	.43	.35	91.2	12.4
.48	.46	.45	.38	.30	91.0	14.0
95.8	92.4	101.6	96.5	98.0		
17.1	14.5	17.0	15.1	14.6		
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Harnessing the Power of EF

Is broad or global EF training effectively transferred to the natural setting?

Four current reviews converge
concluding that the efficacy of
global EF training (e.g. training of
attention, working memory,
behavioral inhibition, etc.) has not
been established.

Cortese et. al., 2015; Melby-Lervag et. al., 2013; Rapport et. al., 2015; Shipstead et. al., 2012.

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These studies suggest that while training in game like activities improves performance on those tasks as well as related ones (near transfer) any transfer from these tasks to global functioning in natural settings (far transfer) remains unproven.

50

Most treatment studies have focused on a single type of EF behavior (e.g. working memory.

A recent study attempted to train multiple types of EF behaviors simultaneously. Their findings are similar to previous research. Near transfer effects do occur but transfer to the natural setting is limited.

Dovis, et. al., 2015

Is real world, content based	
EF instruction effective?	
,	·
Can strategic, direct instructional	
interventions provide remedial and	
compensatory support for children with EF deficits?	
s	
A modest group of studies has	-
demonstrated that setting and work modifications as well as strategy	
development and mastery improves quality of work in near and far term activities	
related to the work for which strategies	
were practiced.	
Jang, Schunn, & Nokes, 2011; Alloway, 2011; Gathercole & Alloway; 1de Jong, 2010;	
McNamara & Scott, 2001	

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Effective educators focus on the self-	
esteem and the social-emotional lives of students.	
	1
Effective educators recognize that	
they have a lifelong impact upon student's capacity to be resilient.	
	1
Effective educators understand the mindset of resilient students.	
ininaset of resilient students.	

Effective educators are not mislead	
by the overt behaviors of students.	
Coping Behaviors of Students	
 Quitting and avoiding Cheating	
Rationalizing Clowning and regression	
Controlling	
Aggressiveness and bullying Passive/aggressive behavior	
Complaining of boredom Rushin	
Effective educators are	
knowledgeable about frameworks for understanding the components	
of self-esteem, motivation, and resilience.	
resilience.	

Effective educators appreciate the	
importance of identifying,	
reinforcing and displaying each student's island of competence.	
student's island of competence.	
	-
	-
500	
Effective educators develop and implement strategies for reinforcing	
self-esteem, motivation, hope and resilience in students.	
resilience in students.	
Resilience	-
	-
 A process leading to good outcome despite high risk 	
The ability to function competently under stress	,
The ability to recover from trauma and adversity	
datessiy	

Resilience is a developmental process	
that involves individual differences in children's attributes (e.g.	
temperament, cognitive abilities) and	
environments (e.g. supportive parenting, enriched classrooms).	
Kirby Deater-Deckard	
Resilient children are not simply born that way	
nor are they made from scratch by their experiences. Genetic and environmental	
experiences loom large as protectors against a variety of risks to healthy development ranging	
from resistance to bacteria and viruses to resilience to maltreatment and rejection.	
Kirby Deater-Deckard	
Resilience is a process focusing upon	
strengths to overcome adversity.	

Most Powerful Predictors of a Resilient Child	
Easy temperamentConsistent family relationships	
Competent caregiversDevelopment of self-esteem	
A sense of emotional security	
To be a <i>charismatic adult</i> and nurture hope	
and resilience, we must understand and reinforce the components of a <i>resilient mindset</i> in children and teens.	_
minuset in clinuren and teens.	
Resilient children are comfortable and	
appreciate that others truly care about them and can be of support and help.	

Resilient children recognize the boundaries of their control and focus their energy on	
these areas of control, acting proactively.	
Resilient children develop competence in	
effective problem solving and decision making. They are flexible in reaching goals	-
through multiple means.	
	-
Resilient children possess and develop self-	
control and self-discipline.	

Resilient children believe they can contribute to and make a positive	
difference in the world.	
	•
Resilient children possess islands of	
competence or strengths that define their	
identity.	
Resilient children can deal effectively with mistakes and failure.	
illistakės aliu lailulė.	

Stress	Hard	iness

- Committed to finding a meaningful purpose in life.
- A belief that you can influence your surroundings and outcome of events,
- A belief that you can learn and grow from both positive and negative life experiences.

Focus on Well Being!

- COMPETENCE in academic, social and vocational areas
- CONFIDENCE or a positive identity
- CONNECTIONS or healthy relations
- CHARACTER or positive values, integrity, and values
- CARING and compassion

(Lerner et al, 2000)

Five Strategies To Foster a Resilient Mindset

- Teach empathy by practicing empathy.
- Teach responsibility by encouraging contributions.
- Teach decision making and problem solving skills that foster self-discipline.
- Offer encouragement and positive feedback.
- Help children deal with mistakes.

Changing The Words of Life: Re-writing Your Negative Scripts

Learn to identify obstacles that prevent progress:

- A lack of awareness of the role negative scripts play in your life.
- $\bullet\,$ Insisting that others must change first if you are to change.
- Hiding behind the stress of every day life to avoid having to change.
- Giving up.

Changing The Words of Life: Re-writing Your Negative Scripts

Becoming the Author of your life:

- Seek out negative scripts in your life and assume responsibility to change them.
- Take the time to define short and long-term goals.
- Consider new scripts or plans of actions in accordance with your goals.

Changing The Words of Life: Re-writing Your Negative Scripts

Becoming the Author of your life:

- Select from these new scripts the one you believe has the greatest probability for success. Decide what success means to you.
- Anticipate the possible obstacles that might interfere with your success.
- Put the new script into action and assess effectiveness.
- Make changes if things aren't working but keep moving forward.

Through the Eyes of Others • Do you practice what you have learned and lived? • It is difficult to be empathic when you are disappointed or angry. • Do you make assumptions about the motives of others? • Do you hold the erroneous belief that if you are too empathic people will take advantage of you?	
Through the Eyes of Others Steps to becoming an empathic person: Take the time to complete empathy exercise. Consider how you would like other people to describe you versus how they might actually describe you. Act on the discrepancy. Use your experiences as a guide. Make an effort to put empathy into practice every day.	
Communicating Effectively • What am I attempting to achieve in this communication? • Am I saying or doing things in a manner in which others will be most responsive to listening to what I have to say?	
Would I want anyone to speak to me the way I speak to others?	

• How would others describe me as I communicate with them?

Communicating Effectively	
What makes it easiest for me to listen to what others have to say? What do others say or do that turns me off and keeps me from	
listening to their message? • Even if I disagree with someone, do I at last validate their point of	
view?	
	_
Obstacles to Communicating Effectively	
• It is difficult to communicate when you are disappointed, angry or	
It is difficult to communicate when you are disappointed, angry or frustrated.It is difficult to communicate when you lose sight of your goal.	
Are you trapped by models from your past?	
	1
Steps to Communicating Effectively	
Become an active listener.	
 Validate: Let others know they have been heard. Live by the golden rule. 	
	1

Steps to Communicating Effectively

- Avoid ultimatums and all or none statements.
- Serve as a model of honesty, integrity and dignity.
- Make humor an essential part of your communication.
- Practice and then practice more.

Dealing with Mistakes

Steps to manage mistakes and setbacks:

- Examine your assumptions about mistakes.
- $\bullet \ \ Challenge \ self-defeating \ attributions.$
- Learn something positive from every situation.
- Decide on a plan of action to attempt new scripts based on new attributions.

The Lessons of Resilience: Maintaining a Resilient Lifestyle

Exercising resilience on a daily basis:

- Have I truly listened during the past day and attempted to understand the viewpoints of others?
- How have I related to others? Have I practiced empathy and respect?
- How have I responded to stress, mistakes and setbacks? What will I do differently the next time?
- In what areas did I do well? How do I maintain and/or reproduce these positive behaviors tomorrow?

The Lessons of Resilience: Maintaining a Resilient	
Lifestyle	
Guiding principles for the long term: • Revisit the principles of a resilient mindset.	
 Periodically assess your progress in terms of leading a resilient life. Do not wait for other people to change first for you to achieve your 	
goals and happiness.	
	1
	_
The Lessons of Resilience: Maintaining a Resilient	
Lifestyle	
 Articulate and evaluate short and long-term goals that are realistic, achievable and in concert with your values. Anticipate mistakes and setbacks. Be prepared with a back-up plan. 	
Relish your accomplishments. Develop and maintain connections with people, ideals, causes and	
faith.	
	1
The Mindset of a Resilient Child	
Optimistic and hopeful.	
Feel special and appreciated in the eyes of others.Set realistic goals and expectations.	
View mistakes, hardships and obstacles as challenges.Solve problems and make decisions.	
 Internal locus of control. Believe and set out to solve problems. 	
Possess empathy.	I .

Cognitive Strategy = EF Instruction

- A strategy is a procedure that the learner uses to perform academic tasks
- Using a strategy means the child thinks about 'how you do what you do'
- Successful learners use many strategies.
- Some of these strategies include visualization, verbalization, making associations, chunking, questioning, scanning, using mnemonics, sounding out words, and self-checking and monitoring.

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Steps to Strategic Instruction:

- Describe the strategy. Students obtain an understanding of the strategy and its purpose-why it is important, when it can be used, and how to use it.
- Model its use. The teacher models the strategy, explaining to the students how to perform it.
- Provide ample assisted practice time. The teacher monitors, provides cues, and gives feedback. Practice results in automaticity so the student doesn't have to "think" about using the strategy.
- Promote student self-monitoring and evaluation of personal strategy use. Students will likely use the strategy if they see how it works for them; it will become part of their learning schema.
- Encourage continued use and generalization of the strategy.
 Students are encouraged to try the strategy in other learning situations.

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My Granddaughter Hones Her EF Skills



Practice Pays Off!



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EF Instruction



Promoting executive Function in the Classroom (What Works for Special-Needs
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Link Melzer Rio (Author)

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yon Melzer PhD (Author)
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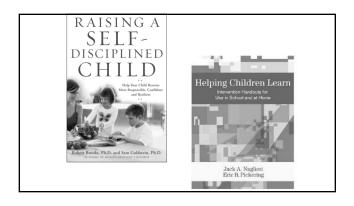
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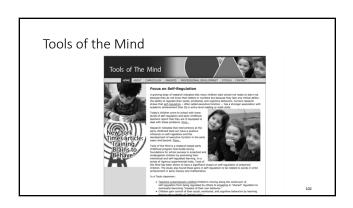
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Cognitive Instructional Methods TEACHING STUDENTS WAYS TO REMEMBER Strategies for Learning Historically Manage American Students Strategies for Learning Historically Manage American Strategies for Learning Historically Manage American Strategies Manage American Manage

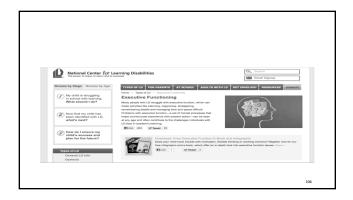








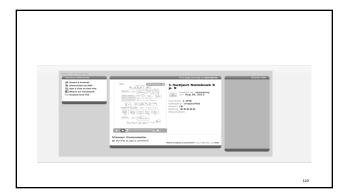


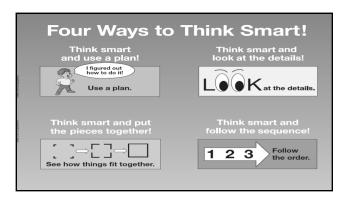












Benefits of Strategy Instruction

- Students trust their minds
- Students know there is more than one right way to do things
 Motivaries in the common of the
- They acknowledge their mistakes and try to rectify them
- They evaluate their products and behavior
- · Memories are enhanced
- Learning increases
- Self-esteem increases
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Conclusions

- The concept of EF is evolving.
- Data from the CEFI Standardization indicate that when measured using observable behaviors the term Executive Function is supported.
- The CEFI provides a well normed measure of EF that has demonstrated reliability & validity.
- There is emerging evidence that children can be taught to be more strategic an important indication of good EF behavior and outcome.

Continuing Education



	Questions?	
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